

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL | |
|--|-----------|
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

| | | | | | | | | |
|--|--|--|--|--|--|--|--|--|
| 1. Name and Address of Reporting Person* <u>MCENANY PATRICK J</u> _____ (Last) (First) (Middle) <u>355 ALHAMBRA CIRCLE, SUITE 801</u> _____ (Street) <u>CORAL FL 33134</u> _____ (City) (State) (Zip) | | | 2. Issuer Name and Ticker or Trading Symbol <u>CATALYST PHARMACEUTICALS, INC. [CPRX]</u> | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner Officer (give title below) <input checked="" type="checkbox"/> Other (specify below) <u>Chairman of Board of Directors</u> | | |
| | | | 3. Date of Earliest Transaction (Month/Day/Year) <u>11/11/2024</u> | | | | | |
| | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | |
| | | | 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|------------|-------------------------|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common stock, par value \$0.001 per share | 11/11/2024 | | M | | 230,000 | A | \$4.01 | 4,708,169 | D | |
| Common stock, par value \$0.001 per share | 11/11/2024 | | S | | 230,000 ⁽¹⁾ | D | \$23.548 ⁽²⁾ | 4,478,169 | D | |
| Common stock, par value \$0.001 per share | 11/12/2024 | | M | | 70,000 | A | \$4.01 | 4,548,169 | D | |
| Common stock, par value \$0.001 per share | 11/12/2024 | | S | | 70,000 ⁽¹⁾ | D | \$23.421 ⁽³⁾ | 4,478,169 | D | |
| Common stock, par value \$0.001 per share | 11/12/2024 | | M | | 192,000 | A | \$3.54 | 4,670,169 | D | |
| Common stock, par value \$0.001 per share | 11/12/2024 | | S | | 192,000 ⁽¹⁾ | D | \$23.201 ⁽⁴⁾ | 4,478,169 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---------|--|-----------------|---|----------------------------|--|--|---|--|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Options to purchase common stock | \$4.01 | 11/11/2024 | | M | | | 230,000 | (5) | 01/02/2025 | Common Stock | 230,000 | \$0 | 2,362,745 | D | |
| Options to purchase common stock | \$4.01 | 11/12/2024 | | M | | | 70,000 | (5) | 01/02/2025 | Common Stock | 70,000 | \$0 | 2,292,745 | D | |
| Options to purchase common stock | \$3.54 | 11/12/2024 | | M | | | 192,000 | (6) | 05/29/2025 | Common Stock | 192,000 | \$0 | 2,100,745 | D | |

Explanation of Responses:

- Shares were sold for personal reasons, including paying federal income taxes and diversifying the Reporting Person's portfolio. Shares were not sold as a result of any disagreement with the Company. Mr. McEnany remains the non-executive chairman of the Company's Board of Directors and the Company's largest individual, non-institutional shareholder.
- Represents a weighted average price for the shares sold. Shares were sold in various lots ranging from \$23.29 to \$23.873 per share.
- Represents a weighted average price for the shares sold. Shares were sold in various lots ranging from \$23.30 to \$23.50 per share.
- Represents a weighted average price for the shares sold. Shares were sold in various lots ranging from \$23.03 to \$23.48 per share.
- Options vested in three equal tranches on January 2, 2019, 2020 and 2021.
- Options vested in three equal tranches on May 29, 2019, 2020 and 2021.

/s/ Patrick J. McEnany

11/13/2024

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.